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UTILITY PATENT APPLICATION TRANSMITTAL

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. XX Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. XX Specification (Total Pages 44)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. XX Drawings(s) (35 USC 113) (Total Sheets 9) (Informal)
4. XX Oath or Declaration (Total Pages 5) (Unexecuted)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

UNITED STATES PATENT APPLICATION
FOR
COMMON BACKPLANE FOR PHYSICAL LAYER SYSTEM AND
NETWORKING LAYER SYSTEM

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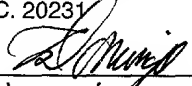
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COMMON BACKPLANE FOR PHYSICAL LAYER SYSTEM AND NETWORKING LAYER SYSTEM

FIELD OF INVENTION

The field of the invention relates generally to networking hardware and,
5 more specifically, to the design and fabrication of a common backplane for
physical layer and networking layer systems.

BACKGROUND OF THE INVENTION

Figure 1a shows a typical networking hardware system 100a with its
10 cover removed. The system 100a (also called a machine or switch or box)
typically comprises a power supply 101, a cooling element (such as a fan not
shown in Figure 1), a backplane 103, card interfaces 104a-e attached to
backplane 103 and various cards 105a-e inserted into the card interfaces 104a-e.
In networking hardware applications the cards are typically organized into
15 three categories: adapter cards (e.g., adapter cards 105a-c), switch or routing
cards (e.g., switch or routing card 105d), and processor cards (e.g., processor
card 105e).

Usually, most of the cards 105 plugged into a working networking
switch (or router) are adapter cards 105a-c. Adapter cards 105a-c are typically
20 used as an interface between the network(s) the switch 100b is connected to and
the switch card 105d. The switch card 105d is responsible for collecting all
incoming traffic from the adapter cards 105a-c and redirecting the traffic to its

appropriate adapter cards 105a-c for outbound traffic flow. The processor card 105e typically has a processing core (e.g., a microprocessor) used to execute the machine's maintenance/configuration software. Although Figure 1a shows a box 100a,b having only one switch card 105d and processing card 105e, it is possible to have more than one of each of these. Multiple switch cards 105d may be employed to expand system bandwidth and/or provide redundancy. Multiple processing cards 105e may be used for redundancy as well.

All these cards communicate with one another via the backplane 103. The cards are typically connected to the backplane via a card interface 104 which may provide mechanical support for the card as well as electrical connection between the card and the backplane 103. The backplane 103 typically comprises conductive traces (also referred to as nets or wiring) between specific input/outputs associated with each of the card interfaces 104a-e. Thus the backplane 103 is an important and necessary feature of not only a machine's mechanical design but also a its electrical design.

As a networking machine 100 becomes more complex so does the sophistication of the backplane 103. For example, high bandwidth machines (i.e., high end leading edge equipment) typically have multiple switch cards 105b (in order to maximize system bandwidth) each of which require communication with most if not all adapter card interfaces 104a-c. As high bandwidth machines can support more adapter card traffic than lower end machines, such high end machines also have larger banks of adapter cards (as

compared to lower bandwidth machines). Properly interconnecting all the various card slots typically requires the backplane to be implemented with multiple (e.g., 20, 22 or more) metal layer electrical cards. Furthermore, the input/output count (i.e., the number of available input/outputs per card interface 104) significantly expands as well.

Because backplanes 103 can be complicated, it is difficult to implement a standard backplane platform. That is, different high end machines require custom backplane designs unique to (and usable only with) one machine. As such, it is difficult to implement a single backplane design that is workable with a number of different machines.

Having the same backplane across varied product lines reduces both development and manufacturing costs substantially. One area of product lines where a common platform is needed concerns SONET (Synchronous Optical Network) switch products and ATM (Asynchronous Transfer Mode) switch products. These products may be referred to not only as SONET or ATM switches respectively; but also as machines, systems, or boxes.

SONET switches are used as physical layer extensions. That is, using the B-ISDN ATM reference model, SONET switches do not typically execute networking level functions beyond the physical layer. ATM switches, on the other hand, provide full networking capability which extends their functionality into the AAL layer. As such, the switch cards of the ATM

machine tend to be more complex and sophisticated as compared to the SONET machine switch cards.

Nevertheless, there is some commonality between the two machines.

Specifically, since ATM frequently uses SONET as a physical layer technology,
5 there tends to be design overlap among ATM switch adapter cards and SONET switch adapter cards. For example, the front end fiber optics and supporting chipsets will tend to be identical (or nearly so) when the adapter cards from the two machines are compared.

Referring to Figure 1a, a backplane 103 is an electrical card (also referred
10 to ask a PC board or planar board) having card interfaces 104a-e and conductive traces (also referred to as nets or lines or traces or wiring). The backplane is typically comprised of multiple layers of conductive material, each separated from the other by dielectric. The conductive layers are typically formed into individual traces by a lithographic patterning process that employs
15 masks. Mask sets are used to project images of the specific conducting trace patterns associated with each metal layer in a PC board.

PC boards are typically manufactured by forming a conductive layer, patterning the conductive layer (usually with a lithographic process that employs a mask set), forming a dielectric layer over the conductive layer and
20 then repeating the above while also forming contacts through the dielectric to a trace below where needed. Such a process is an example of a manufacturing

process. For backplane manufacturing, the manufacturing process may also include affixing card interfaces to the PC board.

Figure 1B shows a partial schematic of the backplane 103 of Figure 1A. It is important to note that typically more than one trace exists between cards.

5 Furthermore, other backplane connections such as power and ground, and their associated input/outputs are not shown in Figure 1B for simplicity. Referring to Figure 1B, the conductive traces 120a-n within the backplane 103 are used to carry electrical signals between specific input/outputs 121a-n associated with each of the card interfaces 104a-e. input/outputs are any conductive material
10 associated with a card interface 104a-e used to make electrical contact to a card 105a-e (such as metal pins, edges, or sockets). Since the direction of information flow through a specific input/output is up to the designer (i.e., may be either into the backplane 103 or out of the backplane, 103), input/outputs may be used either as inputs or outputs.

15 Input/outputs are typically housed within a card interface 104a-e such that they face their respective card 105a-e (as opposed to the backplane 103). Each input/output is usually electrically coupled to a specific backplane 103 net 120a-n via the card interface 104a-e itself. Thus, an electrical connection to an input/output corresponds to an electrical connection to its associated backplane
20 103 net as well (e.g., input/output 121a and net 120a). Furthermore, multiple input/outputs typically reside in a card interface 104. Each card 105a-e is designed such that card nets 124a-n that “mate with” the card interface 104a-e

make electrical connection with the input/outputs 121a-n. In this manner, electrical connection between card nets 124a-n and backplane nets 120a-n is realized (e.g. input/outputs 121a, net 120a and net 124a).

Thus, card interfaces 104a-e are used to connect cards to a backplane.

- 5 They typically provide mechanical support as well as electrical connection between the card 105a-e and the backplane 103. An example of a card interface 104a-e is a connector (frequently made of plastic with copper pins) that is soldered to the backplane PC board. Cards 105a-e are typically “plugged into” card connectors and make electrical connection to the backplane signal traces
- 10 120a-n via the input/outputs 121a-n.

- Thus the input/outputs 121a-n may be viewed as a physical translation between card nets 124a-n and backplane nets 120a-n. In order for cards 105 to properly communicate with one another, backplane nets 120a-n should be properly connected at both ends (or more if applicable) to their associated card
- 15 124a-n nets (e.g., card net 124a, input/output 121a, backplane net 120a, input/output 121a2 and card net 124a2).

- For example, a clock driver net on one card should be connected to a backplane net that is also connected to a clock receiver net on another card. As backplane nets should be “tracked” as to their specific, corresponding card net;
- 20 input/outputs should similarly be tracked since they are the translation between the two nets. That is, continuing with the former example, a clock driver input/output should mate (or otherwise connect) to a clock driver net on

its associated card and a clock receiver input/output should mate with a clock receiver net on its associated card.

Other examples are as follows: power supply card nets should mate with power supply input/outputs, ground plane card nets should mate with ground plane input/outputs, specific data signal nets on a card should mate with their corresponding data signal input/outputs, etc. The multitude of various input/outputs are arranged in the card interface such that each “lines up” and makes electrical contact with its associated card net. Therefore, card interfaces have an arrangement of input/outputs that functionally mate to its corresponding card.

As discussed ahead, one aspect concerns the ability of the backplane to functionally mate one arrangement of input/outputs to two different cards. For example, the same arrangement of input/outputs are designed to functionally mate to both an ATM switch card and a SONET switch card. It may therefore be alternately said, that the backplane has an arrangement of ATM switch card input/outputs in a card interface and an arrangement of SONET switch card input/outputs in the same card interface where the ATM switch card input/outputs and the SONET switch card input/outputs are the same input/outputs. The same may be said for backplane input/outputs designed to mate to adapter cards as well.

Also, the card 105a-e itself may have a backplane connector (not shown in Figure 1B) that plugs into or otherwise mates to the card interface 104a-e.

Such a connector typically has its own input/outputs (connected to the card nets 124a-n) that mate to the card interface input/outputs 121a-n.

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SUMMARY OF THE INVENTION

A backplane is described having a switch card interface and an adapter card interface where the switch card interface has input/outputs in an arrangement that functionally mates to a networking layer system switch card and a physical layer system switch card. The adapter card interface is coupled to the switch card interface. The adapter card interface has input/outputs in an arrangement that functionally mates to a networking layer system adapter card and a physical layer system adapter card.

A method is described comprising forming a first and second backplane according to a manufacturing process, integrating the first backplane into a networking layer system, and integrating the second backplane into a physical layer system.

The other features and advantages of the present invention will be apparent from the accompanying drawings and from the detailed description that follows below.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements, and in which:

5 Figure 1A shows a typical prior art networking hardware system.

Figure 1B shows a prior art arrangement of input/outputs that functionally mate to a backplane.

Figure 2 shows one embodiment of a networking hardware system.

Figure 3A shows an embodiment of an ATM switch.

10 Figure 3B shows an embodiment of a major link architecture for the ATM switch of Figure 3A.

Figure 4A shows a major link backplane design for the ATM switch of Figure 3.

15 Figure 4B shows a system bus and clock trace backplane design for the ATM switch of Figure 3.

Figure 5 shows an embodiment of a SONET switch.

Figure 6 shows an embodiment of a hybrid ATM/SONET switch.

Figure 7 shows an embodiment of a hybrid adapter card used in the hybrid ATM/SONET switch of Figure 6.

DETAILED DESCRIPTION

A backplane is described having a switch card interface and an adapter card interface where the switch card interface has input/outputs in an arrangement that functionally mates to a networking layer system switch card and a physical layer system switch card. The adapter card interface is coupled to the switch card interface. The adapter card interface has input/outputs in an arrangement that functionally mates to a networking layer system adapter card and a physical layer system adapter card.

A method is described involving forming a first and second backplane according to a manufacturing process, integrating the first backplane into a networking layer system switch, and integrating the second backplane into a physical layer system.

One of the advantages realized by these embodiments is manufacturing efficiency and reduced system production costs.

Figure 2 shows one embodiment of a networking hardware system having twelve adapter card slots 201a-l and four switch card slots 202a-d. Also shown in Figure 2 are two processor card slots 203a-b. Typically, one processor card is active while the other is a redundant standby. The card interfaces (e.g., interfaces 104 of Figure 1) attached to the backplane are not in view since Figure 2 shows a covered machine.

The following discussion concerns implementing a common backplane for an ATM switch and a SONET switch having the form factor of Figure 2 (i.e.,

twelve adapter card slots 201a-l, four switch card slots 202a-d and two processor card slots 203a-b). That is, the same backplane design may be integrated into either an ATM switch or a SONET switch. Integrating means securing the backplane into a system or switch, typically done within a manufacturing environment. However, it will be evident that embodiments having different amounts of adapter, switch, and processor card slots are possible.

Furthermore, it will be evident that different embodiments also exist for networks and physical layer technologies beyond ATM and SONET, respectively. That is, more generally, this discussion is applicable to a common backplane that may be used for both a physical layer system and a networking layer system. Using the OSI reference model, a physical layer system is a system that supports only the data link layer and physical layer, while a networking layer system is a system that supports the networking layer.

Figure 3, shows an ATM switch 300 constructed within the form factor shown with respect to Figure 2. For simplicity, Figure 3 merely shows connections between cards. That is, inputs are not necessarily on the left of a card nor are outputs necessarily on the right of a card. The switch shown in Figure 3 can hold a maximum of 12 ATM adapter cards 301a-l. Thus, ideally, each ATM adapter card 301 requires a data pathway to each of the four ATM switch cards 302a-d. This is accomplished by wiring a major link 303 between each ATM adapter card 301a-l and each ATM switch card 302a-d. For example,

major links 303a1-4 connect ATM adapter card 301a to ATM switch cards 302a, 302b, 302c and 302d respectively.

As this incoming traffic of ATM adapter cards 301a-l is ideally spread out and handled across all of the available ATM switch cards 302a-d, typically there is a major link 303 between each ATM switch card and every ATM adapter card. Thus, in the embodiment of Figure 3, there are four major links 303 per ATM adapter card 301, each major link 303 represents the total point to point bandwidth between that ATM adapter card 301 and the particular ATM switch cards. For example, major link 303a1 represents the total point to point bandwidth between the first adapter card 301a and the first switch card 302a, major link 303a2 represents the total point to point bandwidth between the adapter card 301a and the second switch card 302b, etc.

Furthermore, as major links represent the total bandwidth between an adapter card and a switch card, each major link 303 may be comprised of multiple minor links where each minor link corresponds to actual conducting traces implemented in the backplane. That is, major links 303 are also representations of the aggregation of a plurality of actual point to point links, referred to as minor links, that are physically wired within the backplane. In the embodiment of Figure 3a,b, each major 303 link is actually comprised of at least three minor links. For example, as shown in Figure 3b, major link 303a1 is implemented with three minor links 304a,b,c plus a fourth minor link 304d is added for redundancy. The major link concept may include any redundant

minor links (within the associated major link), such as minor link 304d, even though redundant links do not necessarily contribute bandwidth to the major link 303 during normal operation.

The point to point minor links 304 of the embodiment shown in Figure

5 3b are implemented with differential channels and, as such, have two conducting traces associated with each minor link in a single direction: one “+” conducting trace (e.g., trace 305a) and one “-” conducting trace (e.g., trace 305b). Thus for the embodiment shown in Figure 3b, there are eight actual backplane traces 305a-h per major link (2 backplane traces per minor link X 4
10 minor links per major link = 8 backplane traces per major link) in one direction (such as inbound or outbound). When considering both inbound and outbound directions, there are four backplane traces per minor link (resulting in sixteen actual backplane traces per major link). Other embodiments may or may not comprise differential signals depending on the speed, signal trace distance
15 across the backplane, and/or noise within the minor link environment. Thus the number of actual backplane traces per minor link is also case by case dependent.

The relationship between the redundant minor link (e.g., link 304d) and the three other minor links (which may also be referred to as real data minor
20 links) 304a-c follows. First, since the fourth minor link 304d is declared redundant, the switch may operate at full capacity without utilization of the redundant link 304d. Thus minimum guaranteed system bandwidth

calculations may be made without reference to the redundant link 304d bandwidth and, as such (using the embodiment of Figure 3b as an example), only the three real data minor links 304a-c are considered. In the embodiment of the ATM switch of Figure 3 a minimum guaranteed bandwidth of 9.952 Gbps, in one direction, per adapter card (equivalent to an OC-192 pipeline per adapter card) exists. In order to evenly spread out an adapter card's traffic across the four switch cards 302a-d, each major link 303 must support at least 2.488Gbps ($9.952/4 = 2.488$) in one direction.

In this embodiment, the minor links are implemented with application specific integrated circuit (ASIC) point to point chipsets each having a 1.25 Gbps baud rate in one direction. A baud rate is the actual signal speed of the link. It is usually higher than the data rate in order to account for line encoding or other overhead. Thus, as these ASICs employ 8B/10B encoding and also have 14.5% overhead (for handshaking, etc.) the actual data rate offered by these chipsets is reduced to .855 Gbps ($1.25\text{Gbps} \times 0.8 \times (1-.145) = .855\text{ Gbps}$). Thus the three real data minor links 304a-c gives a total major link bandwidth of 2.565 Gbps ($.855\text{ Gbps} \times 3 = 2.565\text{ Gbps}$), in one direction, which is sufficient to support the 2.488 Gbps minimum guaranteed traffic rate per major link. Again, the above mentioned data rates are the total bandwidth in one direction (e.g., inbound or outbound).

Thus, one should first define the desired point to point bandwidth between each adapter card and each switch card. Some of the more simple

designs have the same desired bandwidth between every adapter card and every switch card. Other designs may have different desired bandwidths between various adapter cards and various switch cards. For example, a subset of adapter cards may require more (or less) bandwidth than other adapter cards. Furthermore, a subset of switch cards may be able to provide service for more (or less) bandwidth than other switch cards.

Once the desired point to point bandwidth (i.e., the major link) between each adapter card and each switch card is defined the specific physical implementation (i.e. the minor link(s)) of each major link may be defined.

Exactly how many minor links are to be created per major link is a function of many different factors which may include (but are not necessarily limited to) link technology and backplane signal space.

For example, high end machines are less sensitive to cost and therefore may employ higher speed point to point technology which is typically more expensive than lower speed technology. As minor link speed increases (e.g., using an aforementioned higher speed technology) the number of needed minor links needed to realize the major link bandwidth decreases. This in turn saves backplane signal space. Thus there is typically a tradeoff between backplane space and the technology used to implement the minor links. The optimum tradeoff is determined on a case by case basis as different machines may target different markets having unique pricing structures. Thus in some cases it may be most efficient to have only one minor link per major link which

maximizes backplane real estate efficiency whereas in other cases it may be most efficient to have six, seven or more minor links per major link which consumes backplane signal space at a greater rate. The former case requires more sophisticated, high speed technology (such as GaAs, fiber optics, and/or a custom design) while the later requires less sophisticated technology (such as Si, copper, and/or off the shelf parts). Furthermore, as the ultimate goal is to design a common backplane for more than one machine, the aforementioned tradeoff should be analyzed for a group of machines as a whole as opposed to one specific machine. Ideally, multiple machines may be designed that employ the minor link backplane traces. Different data rates may be run over the minor links for each machine reflecting different system performance.

The number of minor links reserved for redundancy purposes is also a matter of choice that is determined on a case by case basis. Generally, higher end machines serving more sophisticated markets require more redundancy while lower end machines require less redundancy. The specific embodiment discussed in relation to Figure 3 has three ATM switch cards (e.g., switch cards 302a, b, c) normally in use and one switch card for redundancy (e.g., switch cards 302d). A redundant card is basically an extra card. Such a system may be referred to as 3:1 redundancy. In this system there is a minimum guaranteed system bandwidth equal to 3 switch cards of bandwidth. For example each switch card of Figure 3 has a per switch card bandwidth of approximately 50

Gbps. Thus the minimum guaranteed system bandwidth is approximately 150 Gbps.

The redundant switch card 302d may be used solely for redundancy purposes. That is, it is never used unless another switch card 302a,b or c goes down. In still other embodiments the redundant switch card may be used in normal operation which has the effect of increasing the maximum system bandwidth (e.g., from approximately 150 Gbps to approximately 200 Gbps). In this case, if a switch card goes down, the system bandwidth is merely reduced to its minimum guaranteed (e.g., three switch cards) of bandwidth.

The major link structure of three real data minor links 304a, b, c and one redundant minor link 304d is attributable to the 3:1 redundancy scheme of the system shown in Figure 3. Other systems may have less redundancy (e.g., 4:1, 5:1, 6:1, no redundancy...etc.) or more redundancy (e.g., 2:1, 1:1). Thus there also exists a multitude of other possible embodiments regarding the ratio of real data minor links to redundant minor links for each major link.

In one embodiment of the system shown in Figure 3, the redundant link 302d is not used unless a switch card goes down. If a switch card goes down, every major link 303 in communication with the down switch card is no longer useful. In order to compensate for this, each adapter card 301 redirects the traffic normally scheduled to the down switch card to the remaining active switch cards which now includes an activated redundant switch card 302d.

In this embodiment, only three minor links (the real data links 304a, b, c) actually carry traffic per major link 303 prior to a switch card 302 going down. Thus for each down switch card 302 there are three down real data minor links 304 per adapter card. Furthermore, as each adapter card 301 still has three
5 working major links 303 and each of these working major links have a redundant link, there are also three available redundant links in communication with each of the three working switch cards.

Thus in this embodiment, each adapter card 301 therefore recovers from a down switch card 302 by filling one of the available redundant links with
10 traffic normally directed for one of the down real data minor links.

As an example, referring to Figure 3a and 3b, assume that switch card 302a goes down. Adapter card 301a then loses functionality of major link 303a1. Thus adapter card 301a must redirect traffic normally scheduled to the three real data minor links 304a-c that comprise major link 303a1. Adapter card 301a
15 has three remaining major links (303a2-4), each of which have an associated redundant link 306b-d. Each redundant link 306b-d is in communication with a working switch card, thus the adapter card 301a redirects the traffic across these three redundant links 306b-d.

In this embodiment, redundant links are not used unless a switch card
20 goes down. However, in other embodiments, a working major link 303 may have its traffic load spread out across all its minor links including a redundant minor link (in order to, for example, load share the logic at the adapter and

switch cards). Thus, in such an embodiment, the redundant links carry a pro rata share of the major link's traffic load. To some extent, in normal working conditions, the distinction between real data and redundant minor links is lost.

Once a switch card goes down, however, the redundant link distinction is

5 resumed at each of the working major links. That is, the adapter card forces all the traffic normally directed to the down major link to the redundant minor links associated with the remaining operational major links.

As discussed, the aforementioned redundancy scheme is referred to as

3:1 redundancy. That is, the ATM switch 300 is typically sold on the open

10 market as having a maximum guaranteed bandwidth of only three switch cards. A fourth switch card may be used in normal operation which simply adds to achievable system bandwidth. If a switch card fails the machine still operates at its minimum guaranteed (three card) bandwidth after the adapter cards appropriately reroute their traffic across the working redundant links to
15 the remaining three switch cards. Alternatively, the fourth switch card is not used unless and until another switch card fails. In this case the minimum guaranteed system bandwidth is equal to the maximum achievable system bandwidth (of three cards).

Referring to Figure 3a again, note the presence of processor cards 307a,b.

20 As discussed, processor cards 307a,b are typically used for running system configuration and maintenance software. In the embodiment of Figure 3, one processor card (e.g., processor card 307a) is the primary card while the other

processor card (e.g., processor card 307b) is the secondary (redundant) card.

Thus redundancy schemes may be employed for the processing card as well.

The primary card 307a is normally active while the secondary card 307b remains inactive unless and until the primary card goes down.

5 The processor cards 307a, b may communicate with the switch cards 302a-d and adapter cards 301a-l across a processing system bus 308. The processing system bus 308 may be an industry standard bus such as ISA or PCI or may even be any proprietary design. A bus is any multidrop platform. That is, unlike a point to point link arrangement where only two cards communicate
10 with each other, in a multidrop platform, the various cards communicate over the same conducting traces. As such, some degree of arbitration or conflict resolution is typically provided to prevent two cards from employing the bus at the same time. Essentially any bus capable of communicating with a processing system may be employed. Furthermore, a bus does not necessarily need to be
15 employed. That is, a point to point arrangement may also be employed.

 Also note that the system clocking 309a,b (also referred to as clock drivers) may be integrated into the processor cards 307a,b. System clocking 309a,b maintains synchronous operation between the adapter cards 301 and switch cards 302. For the clocking of the ATM system shown in Figure 3 a
20 20.833 MHz clock may be launched in a point to point manner to each adapter card 301a-l (and switch card 302a-d). That is, there is a point to point clock trace 310a-l, 311a-l between each adapter card 301a-l and a clock driver 309a,b

on the processor card 307a,b as well as a point to point connection 310m-p, 311m-p between each switch card 302a-b and a clock driver 309a,b on the processor card 307a,b.

Skew may be controlled by keeping a fixed length for the clock traces

- 5 310a-l, 311a-l. Thus adapter card interfaces closest to the processor card interfaces tend to have associated clock traces that wind back and forth within the backplane in order to have a trace lengths equal to the clock lines that travel to adapter card interfaces farther away from the processor card interfaces. The redundancy discussed in relation to the processor cards affects clocking as well.
- 10 That is since the clocking function may be integrated onto the processor card, in one embodiment, the clocking on the secondary card 307b is not used unless and until the primary processor card 307a goes down.

- Although the specific embodiment shown in Figure 3 integrates the clocking onto the processor card, other embodiments need not employ such a
- 15 scheme. That is, there may instead exist a clock card (or a pair of clock cards if redundancy is employed). A clock card is a card having substantially only clock driving capability. Again, whether a clock card is to be used or not may be decided on a case by case basis. Clock cards require additional card interfaces but reduce processor card complexity.

- 20 Figure 4 shows backplane design for the ATM switch system 300 just described in Figure 3. Figure 4a shows major link 403 wiring and Figure 4b shows system bus 408 and clock trace 409,410 wiring. It is important to realize

the backplane comprises the wiring of both Figures 4a and 4b. Furthermore, other backplane traces (such as power supply and ground traces) are not shown in order to simplify these figures.

Referring to Figure 4a there are twelve adapter card interfaces 401a-l, four switch card interfaces 402a-d and two processor card interfaces 407a,b. An adapter card interface 401 mates with an adapter card, a switch card interface 402 mates with a switch card and a processor card interface 407 mates with a processor card. The wiring scheme of Figure 4a comprises forty-eight major links 403a1-4, 403b1-4, ... 403l1-4 which correspond to four major links 403 per adapter card interface 401. Each adapter card major link 403 runs to a different switch card interface 402. For example, major links 403a1, 403b1, ... 403l1 run to the first switch card interface 402a while major links 403a2, 403b2, ... 403l2 run to the second switch card interface 402b, etc.

Interfaces wired together may be deemed coupled together via the trace that connects them. For example, switch card interface 402a is coupled to adapter card interface 401a via major link 403a1. Furthermore, recall that each major link is typically the aggregation of multiple minor links. For example, in one embodiment, the adapter card interfaces 401 are actually wired as shown back in Figure 3b. That is, each major link 403 corresponds to 16 nets in the backplane.

Referring to Figure 4b, a system bus 408 connects both processor card interfaces 407a,b to every adapter card interface 401a-l and every switch card

interface 402a-d. A system bus 408 may comprise tens or even hundreds of backplane nets per card interface; thus system bus 408 is drawn thicker than other nets. Also, there are multiple clock traces 409a-p and 410a-p. In the embodiment of Figure 4b, every clock trace 409a-p, 410a-p is a single point to point net. Thus, unlike the system bus 408 design which connects to more than two card interfaces, each clock trace 409, 410 is a dedicated trace between two card interfaces. A point to point trace preserves the characteristic impedance throughout the trace (whereas introducing multiple input/outputs per net as in a multidrop scheme, such as a bus, can disrupt the characteristic impedance) and reduces reflections. Thus, signal integrity is preserved for the clock traces 409,410 of Figure 4B. Note that in this embodiment, clock lines 409 are driven by the primary card while clock lines 410 are driven by the secondary card 407b. In summary Figures 4a and 4b show the backplane design needed to implement the ATM switch shown with reference to Figures 2 and 3.

To summarize the discussion so far, the design of a backplane comprises four major considerations: 1) the desired bandwidth (major link) between each adapter card and the switching cards; 2) the system bus employed by the processing cards; 3) the clock nets from the clock driver and 4) redundancy.

Redundancy may be implemented for switch cards, processor cards and/or clocking functions. Implementing redundancy generally adds additional backplane signals wherever it occurs. For example, in the aforementioned example, redundancy increased the number of backplane

traces per major link, the number of processor card slots and the number of clock signal backplane traces. Furthermore, the amount of additional complexity is a function of the degree of redundancy employed. For example, the 3:1 redundancy discussed in reference to the major link embodiment of

- 5 Figure 3 added an additional minor link to each major link. However, if 3:2 redundancy had been employed, two additional minor links would have been added per major link along with a fifth adapter card.

In order to develop a common backplane platform, functional level (e.g., switch card, processor card, and clock driver) consideration and redundancy
10 implementation must be compared and contrasted as between the two or more systems seeking to use the common backplane. At one extreme, a complex backplane may be developed having nets used only with one system coexisting with other nets used only with another system. This approach should be minimized and/or eliminated where possible. Rather, a common or nearly
15 identical design (at least in terms of specific backplane traces if not data rates, etc.) should be strived for at each functional level as well as its associated redundancy. The following discussion concerns a SONET switch configured to use the backplane of Figure 4. It will be evident the aforementioned guidelines have been considered.

- 20 Figure 5 shows an embodiment of a SONET switch 500. The SONET switch 500 of Figure 5 may be implemented with the backplane described back in Figure 4. A common backplane platform means the same backplane is used

for at least two different systems. Thus, one backplane is integrated into at least two different systems. In the embodiment discussed herein, the backplane designed according to Figures 4A and 4B is integrated into the ATM switch 300 of Figure 3a,b as well as the SONET switch 500 of Figure 5. Thus, the SONET switch adapter cards 501a-l may mate with the twelve adapter card interfaces 401a-l (referring briefly back to Figure 4a) and the two SONET switch cards 502a, b may mate with two switch card interfaces (e.g., 402a,b referring briefly back to Figure 4a).

Note, in this embodiment, the SONET switch 500 embodied in Figure 5 has a reduced bandwidth design point as compared to the ATM switch 300 of Figure 3. Thus, in the embodiment discussed herein, the SONET switch cards 502a, b offer approximately 30 Gbps of switching capacity per switch card 502a, b while the ATM switch cards (302 of Figure 3) offer approximately 50 Gbps of switching capacity per switch card. As the SONET switch 500 only requires a guaranteed bandwidth of approximately 30 Gbps as a system, full bandwidth may be achieved with only one of the switch cards 502a,b where one of the switch cards (e.g., 502b) is redundant (by comparison the ATM switch requires as much as 150 Gbps as a system, thus three switch cards are needed with one card used as redundant). As a result, in these embodiments, SONET switch 500 only needs to support 2.488 Gbps per adapter card 501a-l (as opposed to 9.952 Gbps for the ATM switch).

In order to promote backplane re-use, it is optimum to configure the backplane such that both the ATM switch cards and SONET switch cards functionally mate to the same input/outputs associated with an adapter card interface. This is possible if a major and minor link scheme is used for both the

5 ATM and SONET systems.

In such a case, the minor link conducting traces are reused. That is, referring to both Figures 4a and 5, the backplane traces that correspond to major links 403a1, b1, c1 . . . l1 of Figure 4 may be used to implement the real data major links 503a1, b1, c1 . . . l1 of Figure 5, since these major links 403a1,

10 b1, c1l1 connect each adapter card interface 401a-l to the switch card interface 402a. Similarly, the backplane traces that correspond to major links 403a2, b2, c2, ... l2 may be used to implement the redundant major links 503a2, b2, c2 . . . l2, since these traces connect each adapter card interface 401a-l to the switch card interface 402b. The distinction between real data and redundant

15 major links for the embodiment of the SONET switch 500 of Figure 5 is discussed ahead.

Thus, as the backplane of Figure 4 is used, the SONET system 500 uses major links 503 to communicate between adapter cards 501 and switch cards 502 where each major link 503 is comprised of four minor links (as shown in

20 Figure 3b). However, since in this embodiment the SONET system 500 only requires 2.488 Gbps per adapter card, each minor link (e.g. minor link 304a of Figure 3B) carries an OC-12 traffic rate (e.g., 622 Mbps). Thus, in the SONET

system 500 the four minor links associated with a real data major link (e.g. real data major link 503a1) carry real data to a switch card, unlike the ATM system 300 where only three minor links 304a,b,c were real data minor links and one minor link 304d was redundant. This stems directly from the differences
5 between the redundancy approaches undertaken between the two systems. Again, SONET switch 500 redundancy is discussed ahead.

Thus to summarize so far, for the purposes of realizing a common backplane, the design of the SONET switch 500 of Figure 5 has been affected by the ATM switch 300 design of Figure 3. Specifically, the switch card switching
10 capacities were compared and it was determined that the SONET system 500 requires only two switch cards in comparison to four for the ATM system 300. In order to re-use the switch card interfaces, it is optimum for the SONET system 500 to use a major link architecture similar to the ATM system 300. In so doing, the same minor link backplane traces are used for both systems and a
15 second, custom backplane design to implement the means for communication between the adapter cards and the switch cards is unnecessary for the SONET system 500.

Next then, is consideration and implementation of the redundancy. The redundancy approach may be either of the two ways discussed in relation to
20 the ATM switch 300. That is, one embodiment may configure the redundant switch card 502b to operate if and only if the primary switch card 502a fails. In an alternate embodiment, both switch cards are used during normal operation.

Regardless of which embodiment is used, the guaranteed system bandwidth is equivalent to the switching capacity of one SONET switch card (30 Gbps). If the later approach is used, the redundant switch card increases system bandwidth to twice that of the guaranteed minimum. In such an embodiment, if a switch card fails the system bandwidth falls back to the guaranteed bandwidth. As discussed previously, other systems employing more or less redundancy are also possible.

In one embodiment, the SONET switch 500 of Figure 5 employs the former redundancy scheme (where the redundant switch card 502b is only used if the primary card 502a does down). In this embodiment, major links 503a2, 503b2, 503c2, ... 503l2 are redundant as they are each connected to redundant switch card 502b. Major links 503a1, 503b1, 503c1, ... 503l1 may be referred to as the real data major links as each are connected to the primary switch card 502a. Thus during normal conditions, each adapter card 501 directs all incoming traffic across the real data major links 503a1, 503b1, 503c1, ... 503l1. If and when primary switch card 502a goes down, each adapter card will redirect all its traffic across redundant major links 503a2, 503b2, 503c2, ... 503l2 to redundant switch card 502b.

Next the processor card is discussed for the ATM switch 500. Ideally, the processor cards 507a,b are the same for both the ATM and SONET systems. This is possible since the hardware platform (e.g., microprocessor, etc.) needed to run the maintenance software is typically the same. In such a case, the

system bus 508 may be the same as that used in the ATM switch 300 (of Figure 3) resulting in perfect re-use of the system bus 408 backplane wiring (of Figure 4b). Alternatively even if a different hardware platform is used for the maintenance software, the same bus system may still be used if, for example,
5 the different microprocessors simply are configured to use the same bus or a bridge chip is available that can bridge the system bus of the non-conforming microprocessor to the system bus 408 wired in the backplane.

Thus, the SONET switch 500 embodiment of Figure 5 has processor cards 507a,b which are coupled to a system bus 508 which in turn is coupled to
10 every adapter card 501 and switch card 502. Also in this embodiment, each processor card 507a,b has integrated upon it an associated system clocking driver 509a,b. Each clocking driver 509a,b launches clock signals on their point to point associated clock nets 510a-p, 511a-p.

Because the ATM and SONET systems may run on different clocks (for
15 one embodiment, the ATM system runs on a 20.833 MHz clock while the SONET system runs on a 19.44 MHz clock), conceivably, there may exist two different processor cards – one for each system. If this approach is pursued, the backplane of Figure 4b is still suitable. That is, the clock lines 409a-p and 410a-p carry either an ATM system clock frequency (e.g., 20.833 MHz) or a SONET
20 system clock frequency (19.44 MHz) depending on the type of processor card (ATM or SONET) that mates with the processor card interface 407a,b.

It is generally more cost effective, however, to populate a single processor card with the clock source driver designs for both systems 300,500. This allows a single processor card design to work with either the ATM or SONET systems. The added cost of populating processor cards with additional (potentially never utilized) chips is more than offset than tracking demand for and inventorying two different processor cards. Further, as discussed below, a processor card having both clocking designs may be utilized in a hybrid machine that combines ATM switch functionality with SONET switch functionality.

Thus an embodiment that incorporates the ATM clocking and the SONET clocking circuitry on the same card is typically employed. The remaining question then remains whether to gate either clock on the processor card such that clocks may only run on the single set of backplane traces 409a-p, 410a-p (referring back to Figure 4b). An alternative embodiment would entail introducing a whole new set of traces (not shown in Figure 4) that essentially duplicates backplane traces 409a-p, 410a-p allowing each processor card to simultaneously launch a whole set of ATM clocks and SONET clocks sufficient to run a full ATM system and SONET system.

In the embodiment shown in Figure 4b, the proper clock is gated at the processor card. That is, if an ATM switch is implemented with the common backplane, the processor cards allow only the ATM system frequency into the backplane while if a SONET switch is implemented with the same processor

card it allows only the SONET system frequency into the backplane. This embodiment requires a more sophisticated processor card (since it has the added clock gate function) and is best suited for applications less sensitive to processor card cost than backplane space/cost.

5 The alternate embodiment, not shown in Figure 4b, duplicates the number of clock traces shown in Figure 4b. In this other embodiment, the processor cards mated to processor card interface 407a,b launch both SONET and ATM clocks continuously. This embodiment is to be contradistinguished from the prior embodiment, since it is best suited for applications more
10 sensitive to processor card costs and less sensitive to backplane space/cost.

Figure 6 shows the architecture for a hybrid system 600 that performs both ATM switching and SONET switching. In this embodiment, the hybrid system has (again) twelve adapter cards 601a-l and four switch cards 602a-d. Furthermore, the major link, processing and clocking are similar to the previous
15 two systems, again, in order to maximize backplane wiring re-use. However, there are typically two ATM switch cards 602c,d and two SONET switch cards 602a,b. The ATM switch cards 602c,d typically populate the third and fourth switch card interfaces while the SONET switch cards typically populate the first and second switch card interfaces. Thus, each adapter card 601a-l typically has
20 its first two major links 603a1,a2, 603b1,b2,...603l1,l2 connected to the SONET switch cards 602a,b and the second two major links 603a3,a4, 603b3,b4,...603l3,l4 connected to the ATM switch card 602c,d.

In order to fully utilize developed hardware, the hybrid system should be workable with the pre-existing ATM adapter cards (301 of Figure 3) and SONET system adapter cards (501 of Figure 5). This may be implemented provided ATM adapter cards 301 are configured to function as if switch cards in the first and second switch card slots are down. That is, since SONET switch cards 602a,b are in the first and second switch card interfaces, ATM adapter cards should be configured to only send traffic across major links 603a3,a4,603b3,b4,. . . 603l3,l4. This lowers the maximum sustainable offered load per ATM adapter card (in this embodiment, to OC-48 speeds from the OC-192 speed of the ATM system 300 of Figure 3). However, this bandwidth reduction follows from the fact that only two ATM switch cards 602c,d are in the hybrid system 600 embodiment of Figure 6. SONET adapter cards remain at OC-48 maximum speed in this embodiment and, furthermore, by design (i.e., referring to Figure 5) only use major links 603a1,a2,603b1,b2,. . . 603l1,l2. Note that Figure 6 does not identify whether ATM or SONET cards are populating the adapter card interfaces. As long as the aforementioned conditions are met it is irrelevant which cards populate which slots. Similar to both the ATM switch (300 of Figure 3) and the SONET switch (500 of Figure 5), the hybrid system 600 has two processor cards 607a,b with associated clocking circuit 609a,b and clocking nets 610a-p, 611a-p. Thus, again, the backplane of Figure 4 may be used to implement the hybrid system 600.

For that the embodiment of the hybrid system discussed so far, the ATM and SONET adapter cards are only capable of communicating with their respective switch cards. That is, ATM adapter cards may only communicate with the ATM switch cards 602c,d and the SONET adapter cards may only
5 communicate with the SONET switch cards 602a,b since the major links of each not only operate at different speeds but also may employ different semiconductor chips on either end of each major link (which may use different encoding schemes, handshaking, etc.).

However, a third hybrid adapter card (discussed next) is capable of
10 directing traffic over to either switch card. As the system 600 represented in Figure 6 is capable of acting as both an ATM switch and a SONET switch it is possible that different cells arriving on the same SONET line (that is, SONET lines may be used to connect to a network for either ATM or SONET adapter cards) will require either ATM switching or SONET switching. As such, there
15 is a need for a hybrid adapter card that is capable of directing network traffic to/from either the ATM switch cards 602c,d or the SONET switch cards 602a,b.

An embodiment of such a hybrid adapter card 700 is shown in Figure 7. Hybrid adapter card 700 has circuitry for an ATM adapter card 701 and circuitry for a SONET adapter card 702 where each is coupled to its proper
20 major links 703. That is, the ATM adapter card circuitry 701 portion is coupled to major links 703a and 703b while the SONET adapter card circuitry 702 portion is coupled to major links 703c and 703d. Inserted between the network

interface module 704 (e.g., a fiber optic link module that connects to a physical line such as a SONET line) is a MUX block 705 which screens incoming traffic, labels it as ATM or SONET and directs it to the proper portion of the card (either ATM 701 or SONET 702). The MUX block 705 also collects traffic from the two portions of 701,702. For example, the MUX block 705 may be informed during connection setup which cells within a SONET frame are ATM cells and which cells are SONET cells. By keeping in phase with the framing sequence of the SONET line, the MUX block 704 is able to pick off ATM cells and direct them to the ATM adapter circuitry 701 and pick off SONET cells and direct them to the SONET adapter circuitry 702.

In the foregoing specification, the invention has been described with reference to specific exemplary embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.

CLAIMS

What is claimed is:

- 1 1. An apparatus, comprising:
 - 2 a) a backplane, said backplane having a switch card interface and an
 - 3 adapter card interface;
 - 4 b) said switch card interface having input/outputs in an arrangement that
 - 5 functionally mates to a networking layer system switch card and a
 - 6 physical layer system switch card; and
 - 7 c) said adapter card interface coupled to said switch card interface, said
 - 8 adapter card interface having input/outputs in an arrangement that
 - 9 functionally mates to a networking layer system adapter card and a
 - 10 physical layer system adapter card.
- 1 2. The apparatus of claim 1 further comprising a processor card interface, said
- 2 processor card interface coupled to said switch card interface and said
- 3 adapter card interface.
- 1 3. The apparatus of claim 2 wherein said coupling between said processor card
- 2 interface and said switch and adapter card interfaces further comprises a
- 3 system bus.

1 4. The apparatus of claim 2 wherein said coupling between said processor card
2 interface and said switch and adapter card interfaces further comprises a
3 clock trace.

1 5. The apparatus of claim 4 wherein said clock line connects said processor
2 card interface to said adapter card interface.

1 6. The apparatus of claim 4 wherein said clock line connects said processor
2 card interface to said switch card interface.

1 7. The apparatus of claim 1 wherein said coupling further comprises a major
2 link, said major link comprising at least one minor link.

1 8. The apparatus of claim 7 wherein said minor link further comprises a pair of
2 differential transmit traces.

1 9. The apparatus of claim 7 wherein said minor link further comprises a pair of
2 differential receive traces.

1 10. The apparatus of claim 1 further comprising an ATM switch card mated
2 with said switch card interface and an ATM adapter card mated with said
3 adapter card interface.

1 11. The apparatus of claim 1 further comprising a SONET switch card mated
2 with said switch card interface and a SONET adapter card mated with said
3 adapter card interface.

1 12. An apparatus, comprising:

- 2 a) a backplane, said backplane having a plurality of switch card interfaces
3 and a plurality of adapter card interfaces;
- 4 b) each of said switch card interfaces having input/outputs in an
5 arrangement that functionally mates to an ATM switch card and a
6 SONET switch card; and
- 7 c) each of said adapter card interfaces coupled to each of said switch card
8 interfaces, each of said adapter card interfaces having input/outputs in
9 an arrangement that functionally mates to an ATM adapter card and a
10 SONET adapter card.

1 13. The apparatus of claim 12 further comprising an ATM switch card mated
2 with one of said switch card interfaces and a SONET switch card mated
3 with another of said switch card interfaces.

1 14. The apparatus of claim 13 further comprising an ATM adapter card mated
2 with one of said adapter card interfaces and a SONET adapter card mated
3 with another of said adapter card interfaces.

1 15. The apparatus of claim 13 further comprising a hybrid adapter card mated
2 with one of said adapter card interfaces, each of said adapter card interfaces
3 having input/outputs that functionally mate to said hybrid adapter card.

1 16. The apparatus of claim 12 further comprising a first ATM switch card mated
2 with one of said switch card interfaces, a redundant ATM switch card mated
3 with another of said switch card interfaces and an ATM adapter card mated
4 with one of said adapter card interfaces.

1 17. The apparatus of claim 16 wherein said coupling further comprises a
2 plurality of major links, one major link between each said adapter card and
3 each said switch card, each of said major links further comprising a plurality
4 of minor links, each of said minor links further comprising a real data minor
5 link and a redundant minor link.

1 18. The apparatus of claim 12 further comprising a first SONET switch card
2 mated with one of said switch card interfaces, a redundant SONET switch
3 card mated with another of said switch card interfaces and a SONET
4 adapter card mated with one of said adapter card interfaces.

1 19. The apparatus of claim 18 wherein said coupling further comprises a
2 plurality of major links, one major link between each said adapter card and

3 each said switch card, a real data major link between said SONET adapter
4 card and said first SONET switch card, a redundant major link between said
5 SONET adapter card and said redundant SONET switch card.

1 20. The apparatus of claim 12 further comprising four said switch card
2 interfaces and twelve said adapter card interfaces.

1 21. A method, comprising:

- 2 a) forming a first and second backplane according to a manufacturing
3 process;
4 b) integrating said first backplane into a networking layer system; and
5 c) integrating said second backplane into a physical layer system.

1 22. The method of claim 21 wherein said manufacturing process further
2 comprises forming minor link conducting traces associated with a major
3 link.

1 23. The method of claim 22 wherein said forming minor link conducting traces
2 further comprises forming a pair of differential transmit conducting traces.

1 24. The method of claim 23 wherein said forming minor link conducting traces
2 further comprises forming a pair of differential receive conducting traces.

1 25. The method of claim 21 wherein said manufacturing process further
2 comprises forming system bus conducting traces.

1 26. The method of claim 21 wherein said manufacturing process further
2 comprises forming clock traces.

1 27. The method of claim 21 further comprising affixing a card interface to said
2 backplane.

1 28. The method of claim 27 further comprising affixing an adapter card
2 interface to said backplane.

1 29. The method of claim 27 further comprising affixing a switch card interface
2 to said backplane.

1 30. The method of claim 27 further comprising affixing a processor card
2 interface to said backplane.

1 31. The method of claim 21 wherein said manufacturing process further
2 comprises a lithographic process that employs a mask set.

- 1 32. The method of claim 21 wherein said networking layer system is an ATM
- 2 switch and said physical layer system is a SONET switch.

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ABSTRACT

A backplane having a switch card interface and an adapter card interface where the switch card interface has input/outputs in an arrangement that functionally mate to a networking layer system switch card and a physical layer system switch card. The adapter card interface is coupled to the switch card interface. The adapter card interface has input/outputs in an arrangement that functionally mates to a networking layer system adapter card and a physical layer system adapter card. A method that involves forming a first and second backplane according to a manufacturing process, integrating the first backplane into a networking layer system switch, and integrating the second backplane into a physical layer system switch.

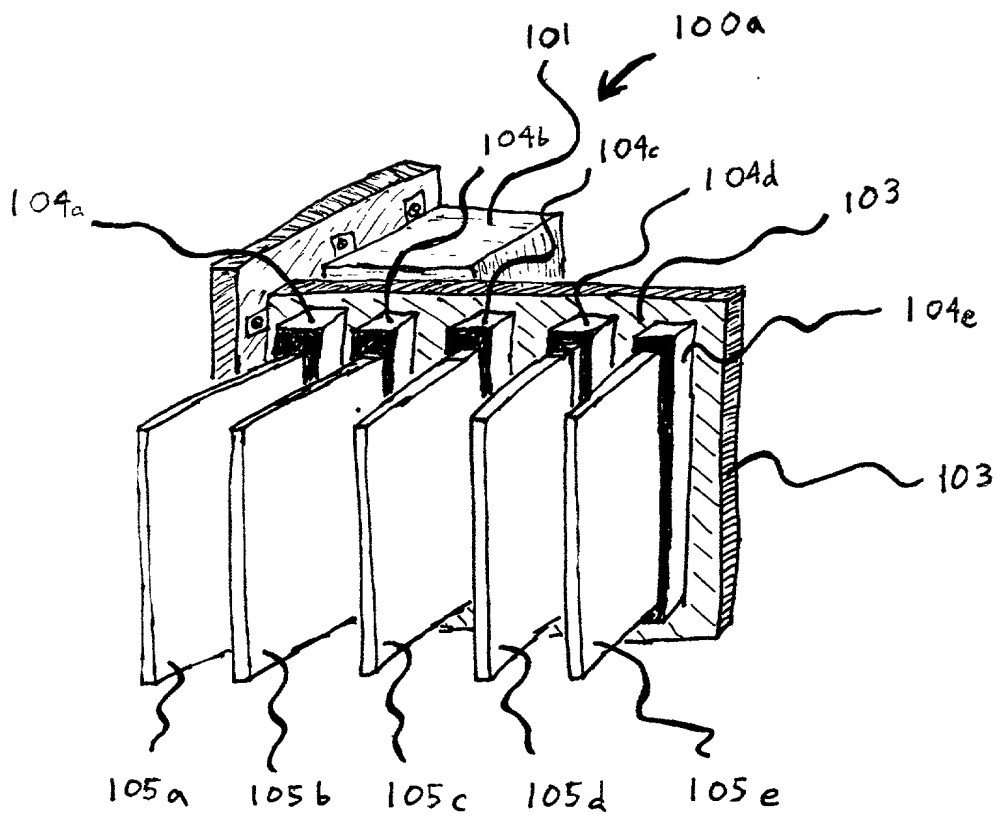


FIG. 1A (PRIOR ART)

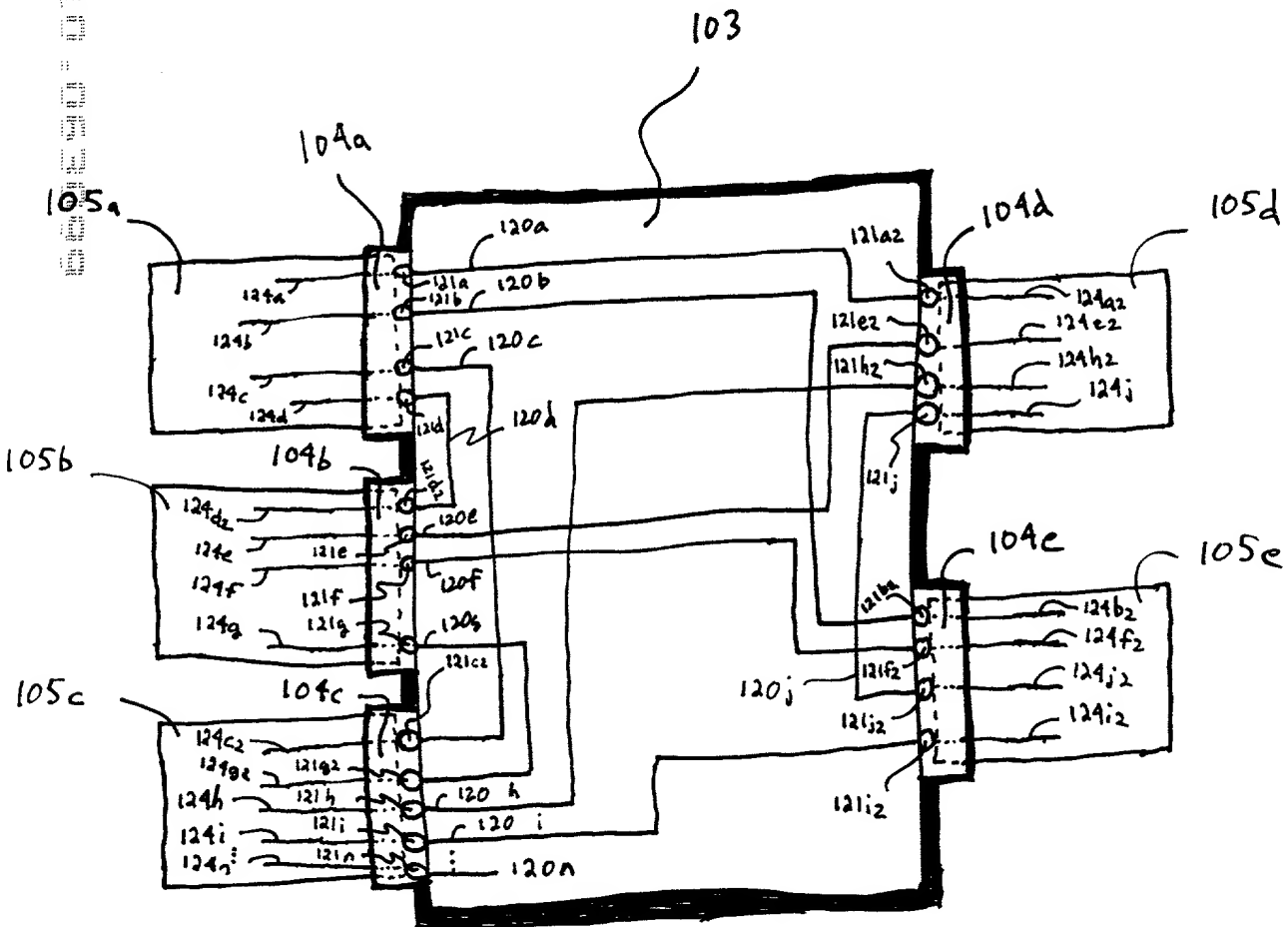


FIGURE 1B (PRIOR ART)

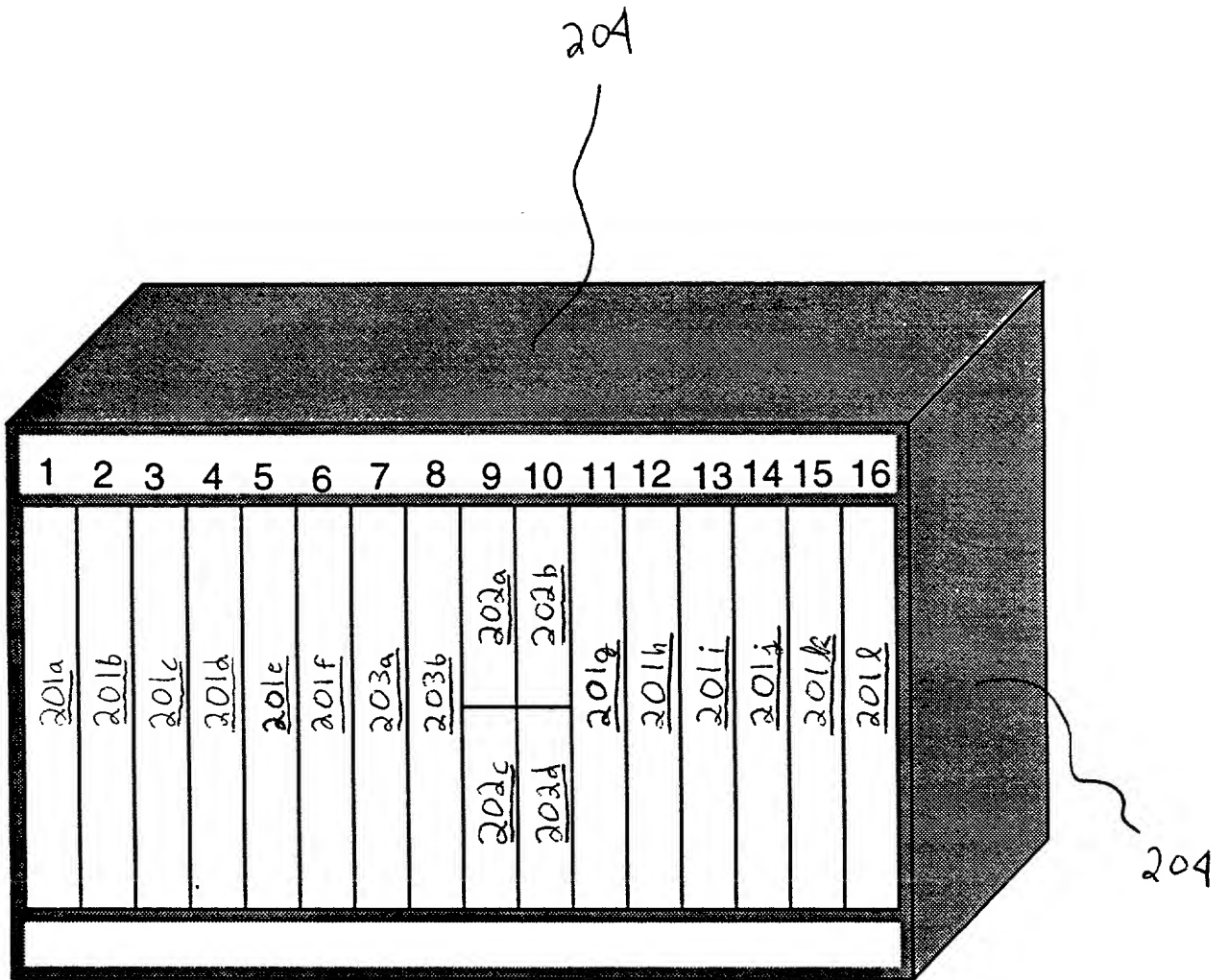


FIG. 2

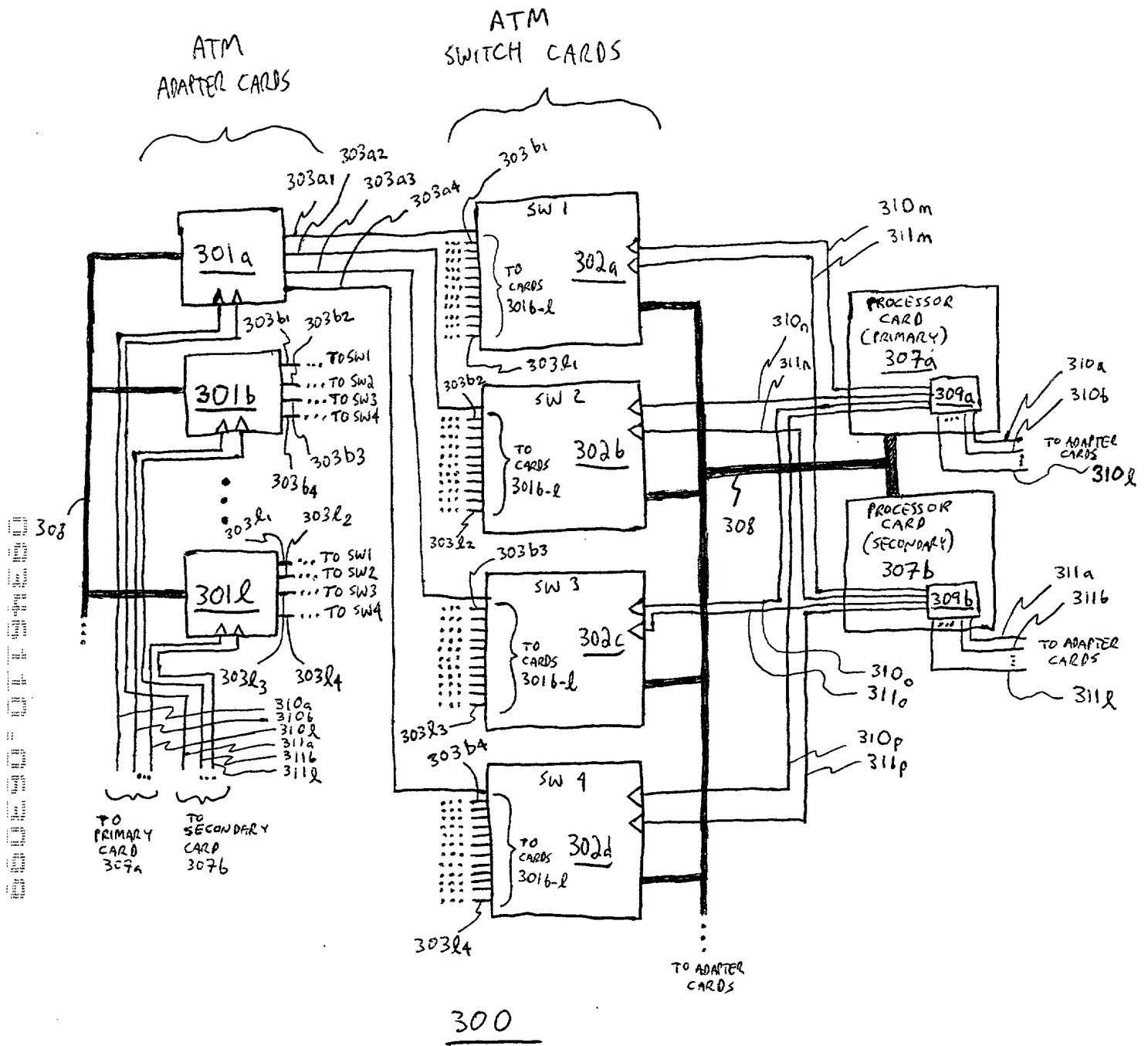


FIGURE 3a

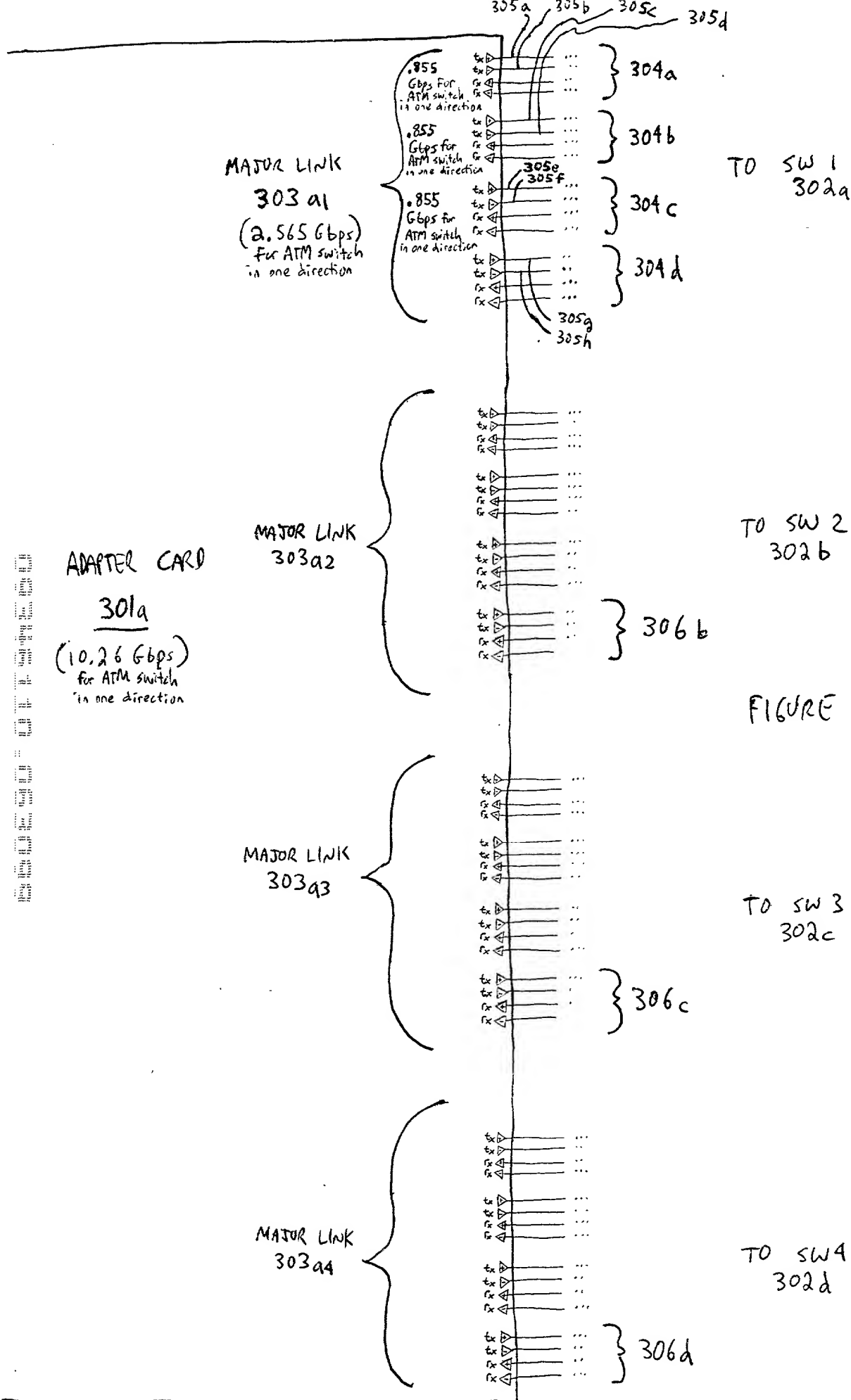


FIGURE 3B

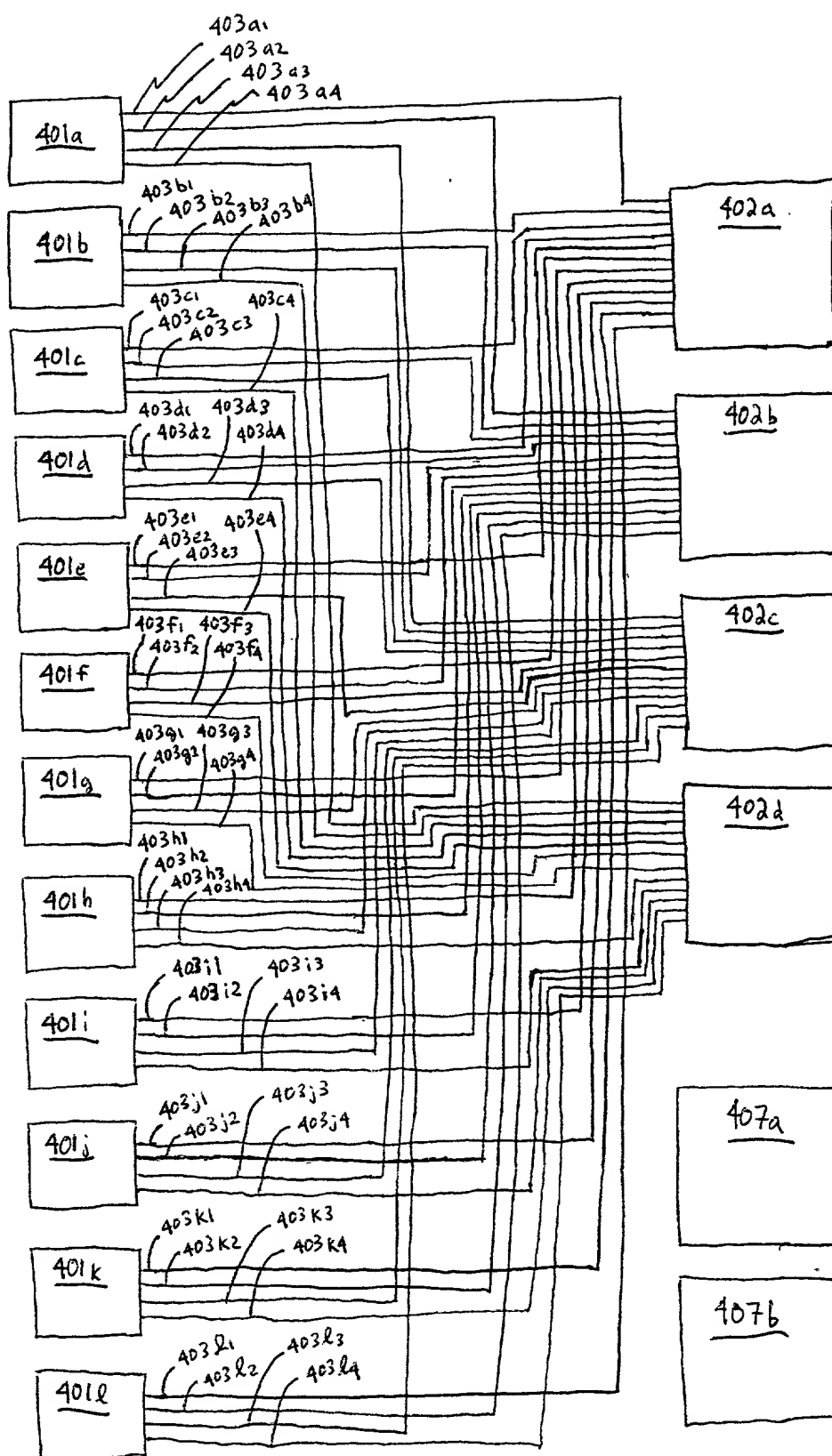


Figure 4a

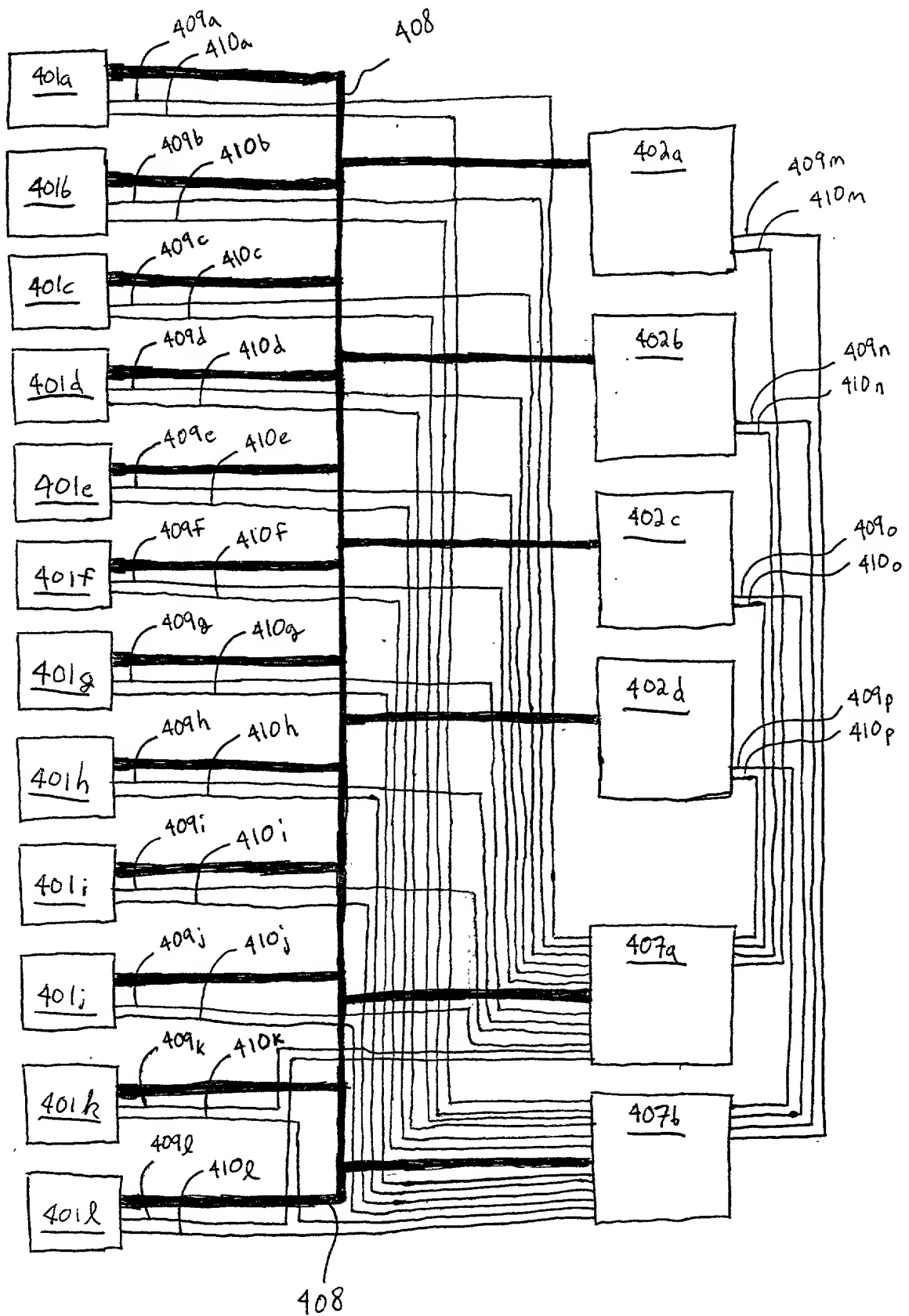


Figure 46

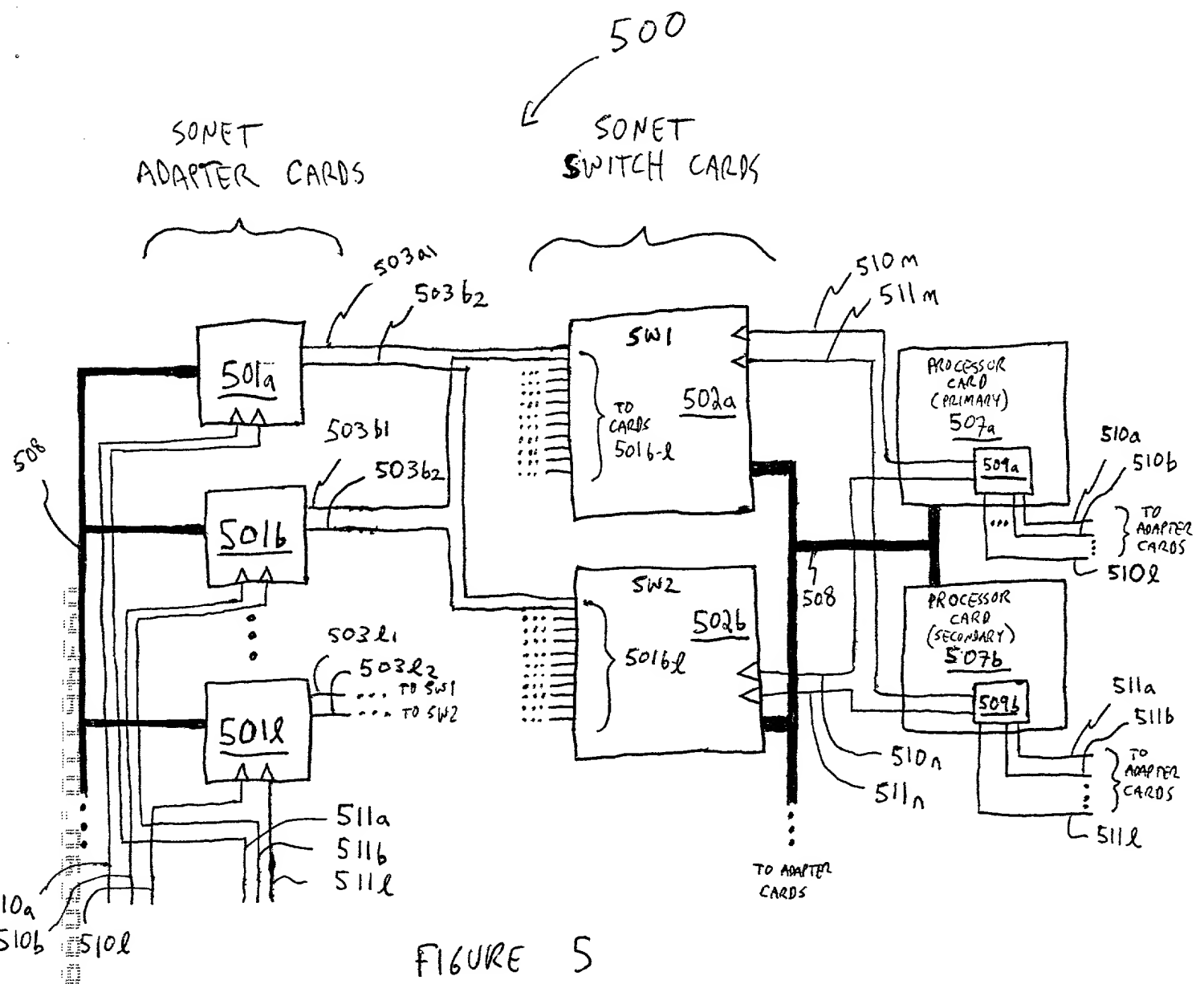
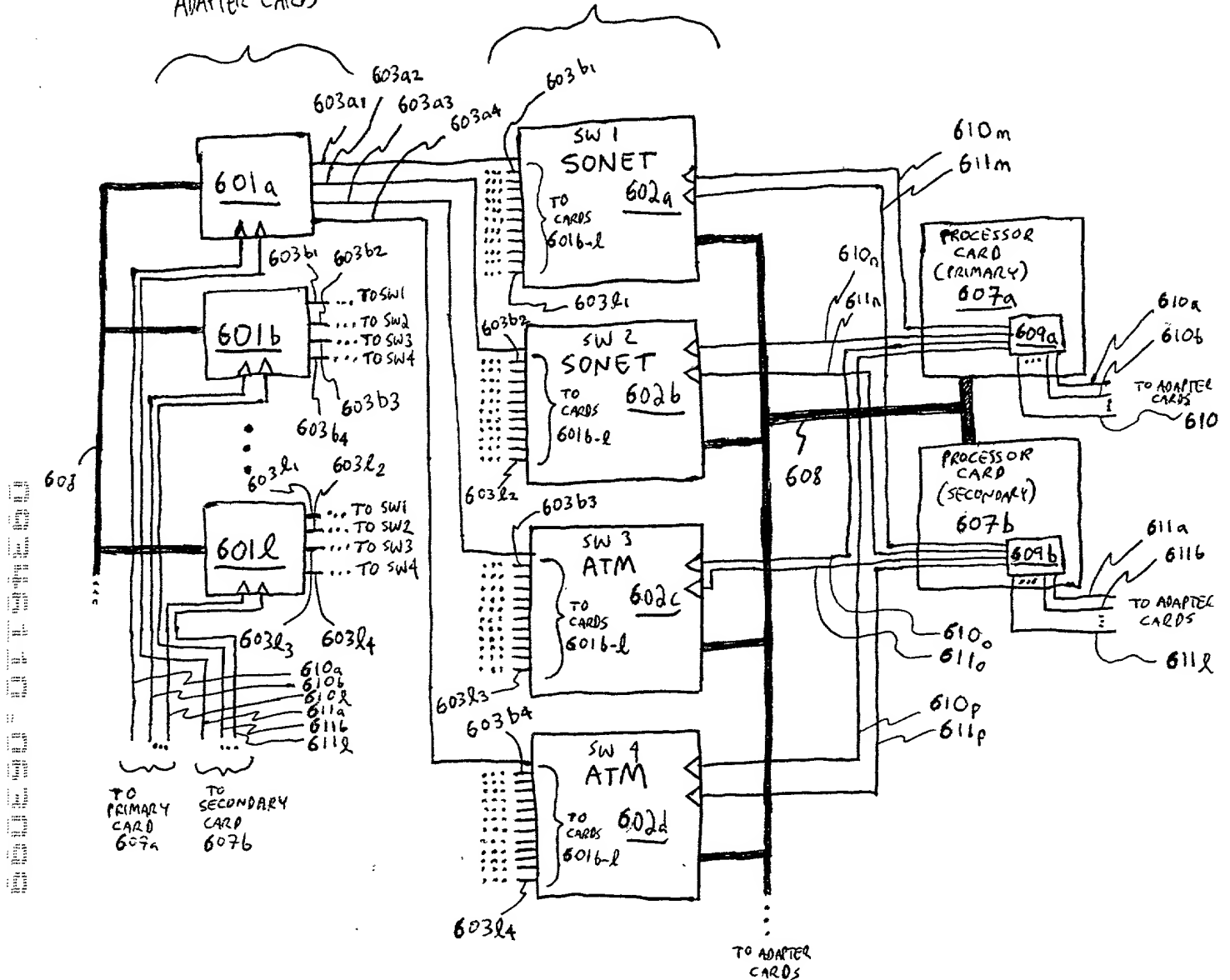


FIGURE 5

ATM/SONET/HYBRID

ADAPTER CARDS

SWITCH CARDS



600

FIGURE 6

700

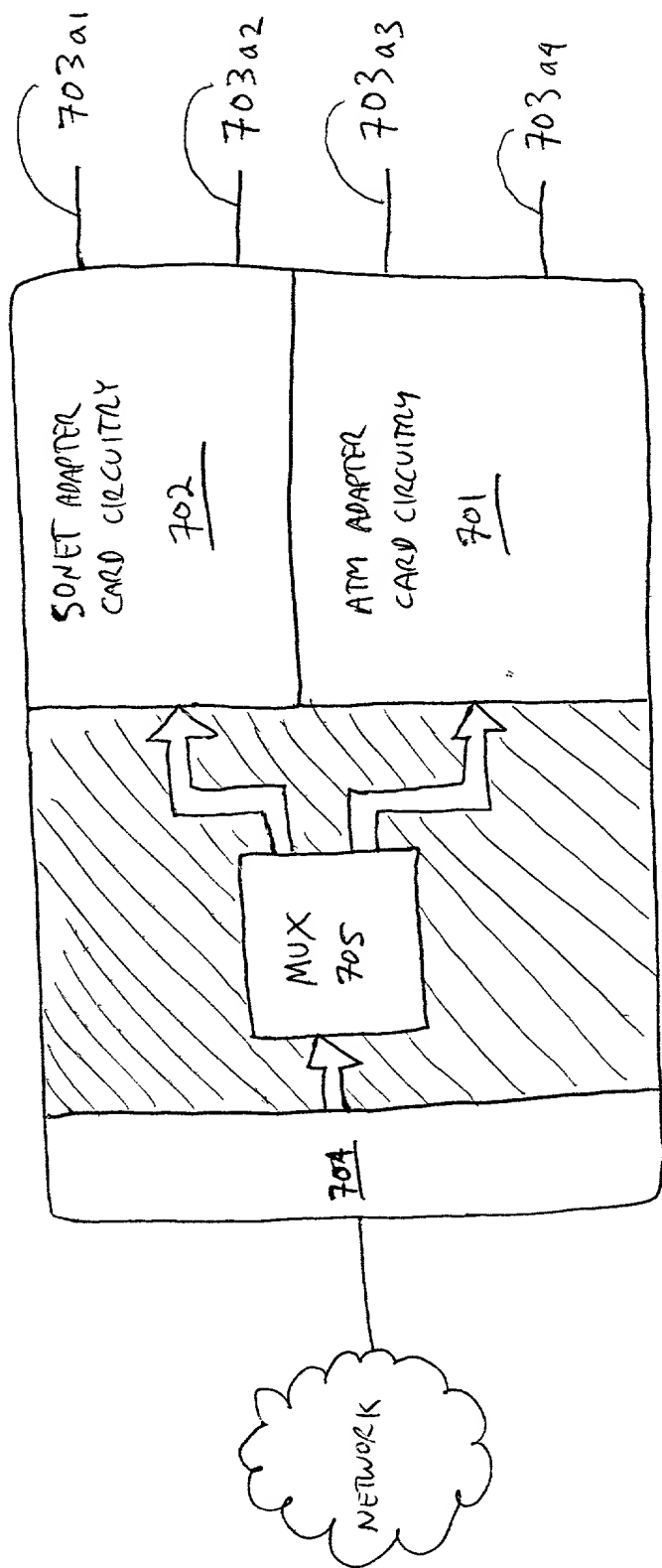


FIGURE 7

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

(Application Number)

Filing Date

(Application Number)

Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)

Filing Date

(Status -- patented,
pending, abandoned)

(Application Number)

Filing Date

(Status -- patented,
pending, abandoned)

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

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(Name of Attorney or Agent)
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct
telephone calls to Lester J. Vincent, (408) 720-8598.
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.